

**Maine Revised Statutes**  
**Title 32: PROFESSIONS AND OCCUPATIONS**  
**Chapter 135: MAINE UNIFORM SECURITIES**  
**ACT HEADING: PL 2005, c. 65, Pt. A, §2 (new)**

**§16506. MISREPRESENTATIONS CONCERNING LICENSING, REGISTRATION OR EXEMPTION**

The filing of an application for licensing, registration, a registration statement, a notice filing under this chapter, the licensing of a person, the notice filing by a person or the registration of a security under this chapter does not constitute a finding by the administrator that a record filed under this chapter is true, complete and not misleading. The filing, licensing or registration or the availability of an exemption, exception, preemption or exclusion for a security or a transaction does not mean that the administrator has passed upon the merits or qualifications of, or recommended or given approval to, a person, security or transaction. It is unlawful to make or cause to be made to a purchaser, customer, client or prospective customer or client a representation inconsistent with this section. [ 2005, c. 65, Pt. A, §2 (NEW) . ]

**SECTION HISTORY**

2005, c. 65, §A2 (NEW) .

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